



MRZ 8980  
PATENT

*Patricia Lewis*  
*# 11 1/2 IDS*  
*10.10.02*

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application of David C. Carrithers et al.  
Serial No. 09/399,597  
Filed September 20, 1999  
Confirmation No. 2447  
For DEBIT CARD SYSTEM AND METHOD FOR  
IMPLEMENTING INCENTIVE AWARD PROGRAM

RECEIVED  
SEP 25 2002  
GROUP 3600

September 19, 2002

TO THE COMMISSIONER FOR PATENTS,

SIR:

SECOND SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

TO THE COMMISSIONER FOR PATENTS,

SIR:

In accordance with 37 C.F.R. 1.97 and 1.98 and MPEP 609, and in compliance with the duty of disclosure set forth in 37 C.F.R. 1.56, Applicants submit a copy of the

\* reference listed on the attached Form PTO/SB/08A for consideration by the Patent and Trademark Office in the above-referenced patent application and to be made of record therein.

The attached reference is a recently filed Complaint and Answer involving U.S. Patent Nos. 5,689,100 and 5,956,695 from which the present application claims priority.

Respectfully submitted,

*Frank R. Agovino*

Frank R. Agovino, Reg. No. 27,416  
SENNIGER, POWERS, LEAVITT & ROEDEL  
One Metropolitan Square, 16th Floor  
St. Louis, Missouri 63102  
(314) 231-5400

BPK/dss/cwa

Express Mail Label No. EL890686944US

PTO/SB/08A				<b>Complete if Known</b>	
<b>SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  (use as many sheets as necessary)				Application Number	09/399,597
				Filing Date	09/20/99
				Confirmation Number	2447
				First Named Inventor	David C. Carrithers
				Group Art Unit	2162
				Examiner Name	M. Tesfamariam
Sheet	1	of	1	Attorney Docket No.	MRZ 8980

OTHER ART - NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.) date, page(s), volume-issue number(s), publisher, city and/or country where published.	T 6
	D22	First Amended Complaint in Cause No. 4:02CV00161CDP in the U.S. District Court, Eastern District of Missouri, Eastern Division, Maritz Inc. and American Express Incentives Services, LLC v. Carlson Companies, Inc. and Carlson Marketing Group, Inc.	
	D23	Answer to First Amended Complaint and Counterclaim in Cause No. 4:02CV00161CDP in the U.S. District Court, Eastern District of Missouri, Eastern Division, Maritz Inc. and American Express Incentives Services, LLC v. Carlson Companies, Inc. and Carlson Marketing Group, Inc.	

RECEIVED  
 SEP 25 2002  
 GROUP 3600

Examiner Signature		Date Considered	
--------------------	--	-----------------	--

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup>Unique citation designation number. <sup>2</sup>See attached Kinds of U.S. Patent Documents. <sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup>For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup>Applicant is to place a check mark here if English language Translation is attached.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, D.C. 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, D.C. 20231.